

The logo for SOGEI, consisting of the lowercase letters 'sogei' in a bold, sans-serif font. The background of the slide features a large, light gray abstract shape with white curved lines, resembling a stylized leaf or a modern architectural element.

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# Review of SC-104 Integrity WG Concepts

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**RTCM Paper 087-2018-SC134-006**

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# Integrity Monitoring for High Precision Applications

- Objectives of the WG:
  - Review of Integrity Augmentation Monitoring Systems and Integrity Requirements
  - Definition of a roadmap for Integrity Monitoring Systems Messages and Protocols development
  - Generalised Architecture for Integrity Monitoring
  - Basic Integrity Monitoring Messages definition

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# High Precision and Integrity needs

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|   | Accuracy  | Availability                              | Integrity  | Continuity                       |
|---|---|---|--|----------------------------------|
| <b>Aviation</b><br>            | <b>++</b><br>(depending on flight mode en-route/approach)     | <b>+++</b>                                | <b>+++ / ++++</b><br>(depending on flight phases, e.g. CATI-CAT III) | <b>+++</b>                       |
| <b>Rail</b><br>                | <b>++</b><br>(depending on the operation phase)               | <b>++</b><br>(integration with odometers) | <b>++++</b><br>(at system level)                                     | <b>++++</b><br>(at system level) |
| <b>Automotive</b><br>          | <b>+++</b><br>(aided by INS)                                  | <b>+++</b>                                | <b>++++</b><br>(Autonomous Driving)                                  | <b>++++</b>                      |
| <b>Geodesy/Surveying</b><br> | <b>+++++</b>  | <b>++++</b>                               | <b>++</b><br>(costs for missed surveying)                            | <b>++</b>                        |
| <b>Maritime</b><br>          | <b>++</b><br>(depending on navigation mode en-route/approach) | <b>+++</b>                                | <b>+++</b>   | <b>+++</b>                       |

# Integrity Definitions

- **Integrity:** Ability of a system to provide timely warnings when the system should not be used for navigation
- **Alert Limit:** The alert limit for a given parameter measurement is the error tolerance not to be exceeded without an alert
- **Time to Alert (TTA):** The maximum allowable time elapsed from the onset of the navigation system being out of tolerance until the equipment enunciates the alert
- **Protection Level (PL):** Statistical bound error computed so as to guarantee that the probability of the absolute position error exceeding said number is smaller than or equal to the target integrity risk
- **Integrity Risk:** Probability of an undetected, threatening navigation system problem occurring in a fixed period of time

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# Integrity Background

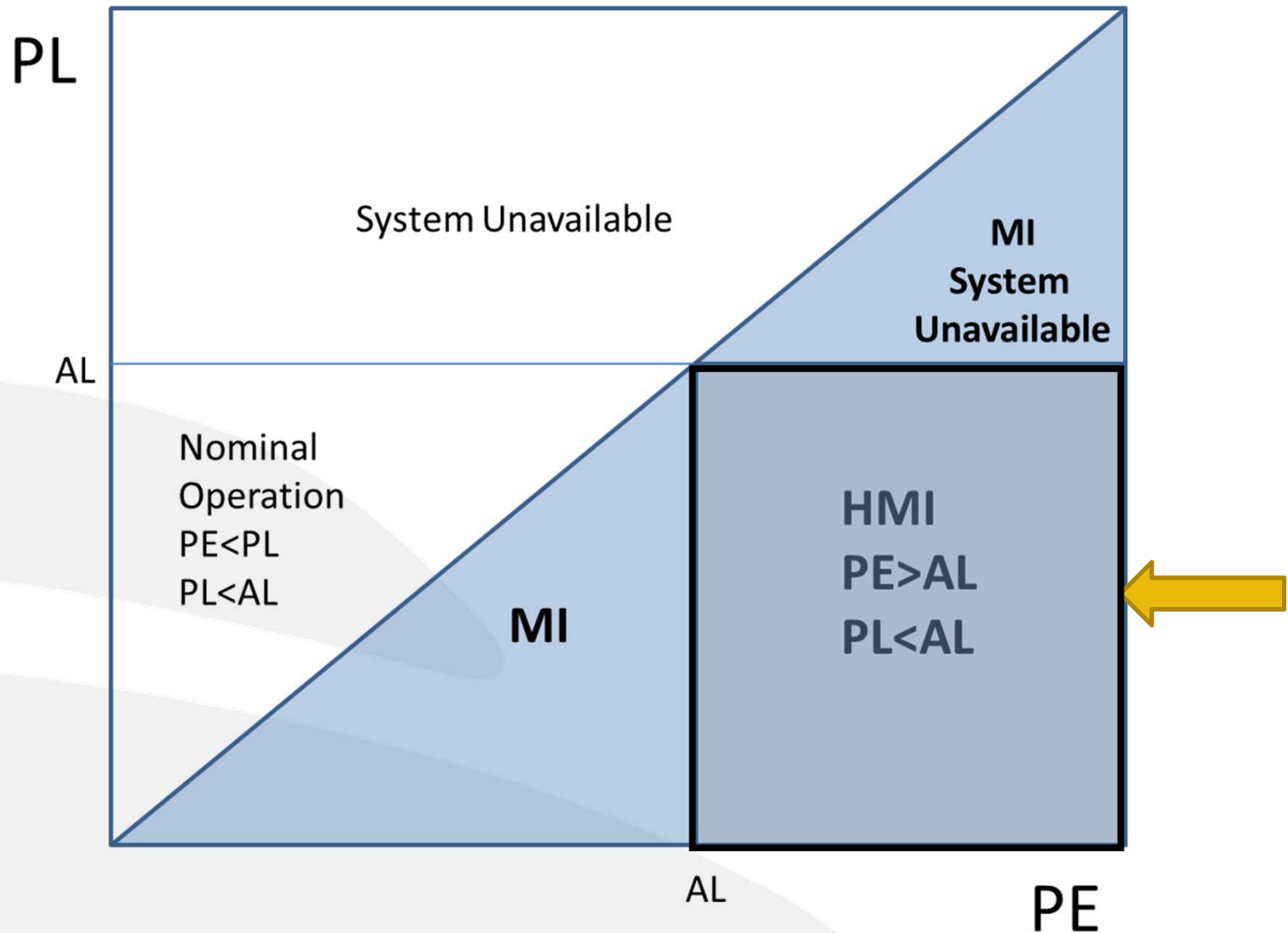
- **Misleading Information (MI)** occurs when the true navigation error exceeds an appropriate Alert Limit (Position Error-PE>AL)
- **Hazardous Misleading Information (HMI)** is said to happen for a given operation when the true navigation error exceed the Alert Limit and **no timely warning is provided** (PE>AL & PL<AL)

$$P(|x - \hat{x}| > AL \wedge No \quad Alert) \leq IR$$

- Integrity requirements is usually expressed in terms of **probability of HMIs during a predefined operational time interval** (e.g. 150 s for the Aviation Precision Approach, 1 hour in railway applications)
- **RTK**: requires Ambiguity Fixing Validation Monitoring

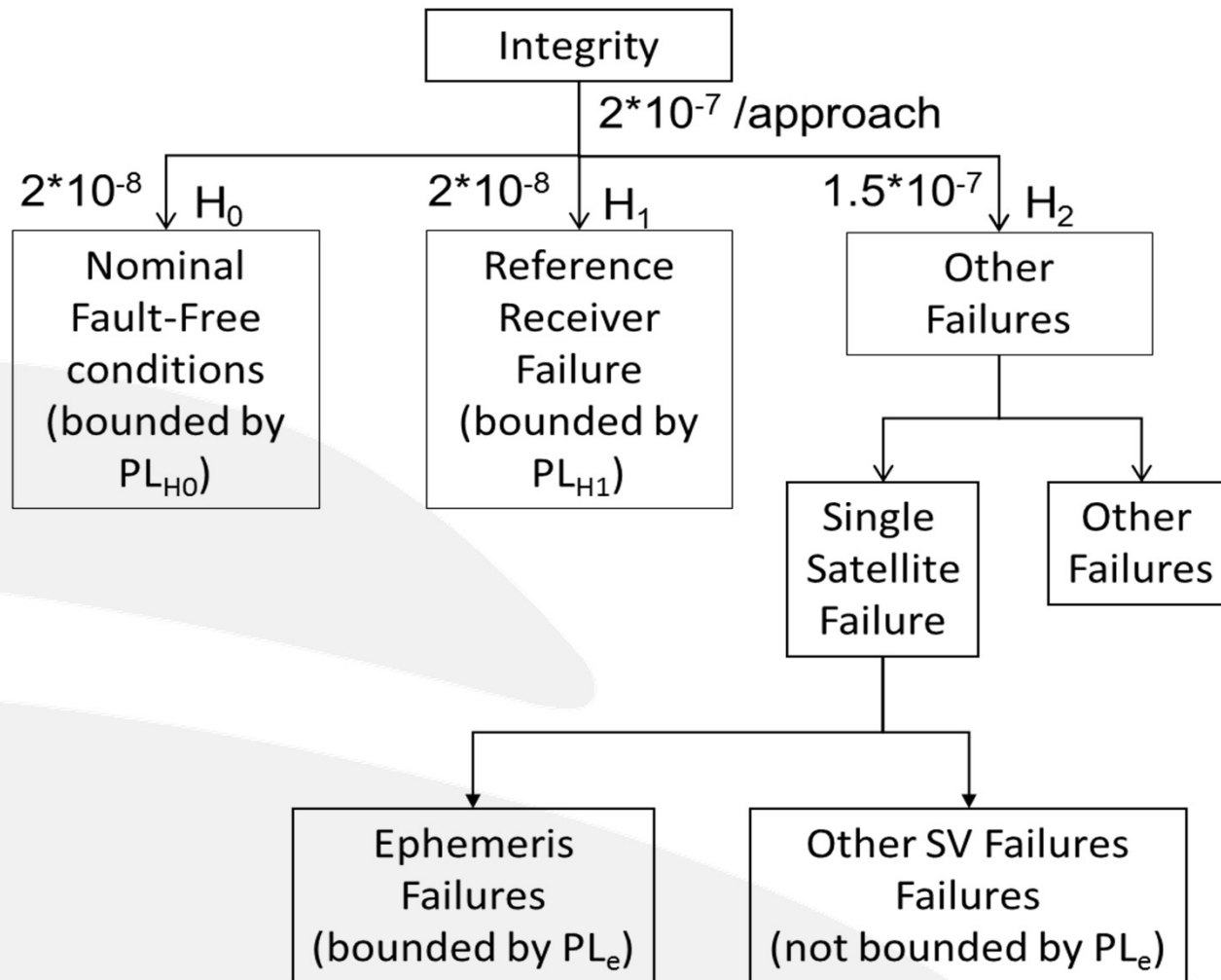
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# The Stanford Plot representation



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# Fault Tree Analysis: Faults Apportionment



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# Threat Model

|  | Nominal Fault   | Partial Fault   | Global Fault   |
|--|---|---|--|
| <b>Clock and Ephemeris</b>                                   | Correct estimation  | Bad ephemeris   | Erroneous EOPP, Ground System Failure                              |
| <b>Ionosphere</b>  | Nominal   | Scintillation   | Solar Flare  |
| <b>Troposphere</b>   | Noninal   | NA  | NA   |
| <b>Signal Deformation</b>                                    | Nominal signal distorsions due to RF components and antenna | Satellite signal distorsion                           | NA   |
| <b>Code-Carrier Divergence</b>                               | Different behaviour of Code and Carrier Measurements        |   | NA   |
| <b>On Board Receiver Noise (Local Effects)</b>               | Nominal noise and Multipath                                 | Excessive Multipath, or tracking faults               | Multiple satellites tracking Faults and multipath or shadowing     |
| <b>Local Augmentation System Fault</b>                       | Nominal Reference Stations                                  | Single Fault on a Reference Station of Control Centre | Multiple Reference Stations, Communication of Control Centre Fault |
| <b>Global Augmentation System Fault</b>                      | Nominal Augmentation Message generation                     | Missed single satellite Monitoring                    | Global Augmentation Fault  |
| <b>Communication System Fault (RS, Control Centre, user)</b> | Nominal Latency and QoS                                     | Excessive Latency or QoS                              | Communication System interruption                                  |

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# Applications Requirements

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| Domain            | Operation                     | Alert Limit (m) | TTA (s)  | Integrity Risk               |
|-------------------|-------------------------------|-----------------|----------|------------------------------|
| <b>Maritime</b>   | Coastal                       | 25              | 10 s     | 10 <sup>-5</sup> /3h         |
|                   | Port                          | 2.5             | 10 s     | 10 <sup>-5</sup> /3h         |
| <b>Rail</b>       | Start of Mission              | 3               | NA       | THR(*): 10 <sup>-10</sup> /h |
|                   | Normal Operation              | 12-100          | NA       | THR: 10 <sup>-9</sup> /h     |
| <b>Aviation</b>   | Category I Precision Approach | H 40,<br>V 20   | 6 s      | 10 <sup>-7</sup> /150s       |
| <b>Automotive</b> | Collision Avoidance           | 0.1             | 5 s      | -                            |
|                   | Emergency Response            | 0.1-4           | 30 s     | -                            |
|                   | Automated Vehicle Monitoring  | 0.2-30          | 5s-5 min | -                            |
|                   | Connected Vehicles            | 0.1             | 0.2      | ?                            |

Sources: FRP and others

(\* ) THR: Tholerable Hazard Rate



# Application Specific Example: Automotive Integrity

- Autonomous Vehicle support
- Lane Keeping
- V2X and Cooperative ITS: Augmentation Service Provider and vehicle to vehicle messaging for High Integrity
- Sensor fusion: INS, LIDAR and High Precision mapping for improved Integrity and Reliability
- GNSS Manufacturers and Safety compliance
- Recent Releases: < 1 min lane-level accuracy for autonomous driving through PPP, LA and multi-delivery channels

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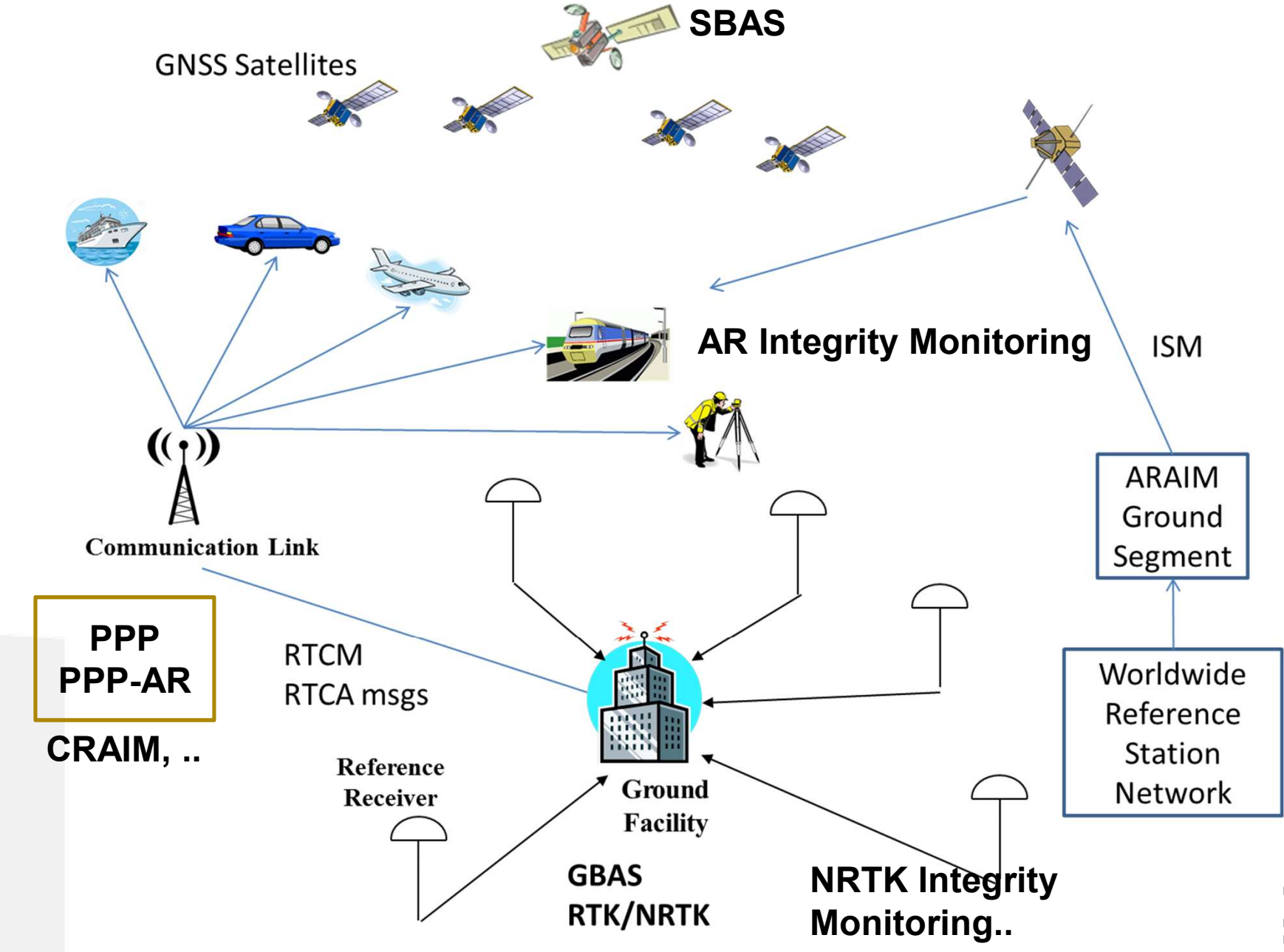
# Integrity Monitoring Systems

- RAIM (Receiver Autonomous Integrity Monitoring): detecting faults through redundant GNSS measurements
- GBAS (Ground Based Augmentation System): Local Augmentation providing differential PR corrections and Integrity Monitoring for CAT I in the vicinity of an airport
- SBAS (Satellite Based Augmentation System): Wide Area Augmentation providing corrections and Integrity messages
- ARAIM (Advanced RAIM): Global IM System able to meet LPV-200 requirements, multi-frequency, multi-constellation
- CRAIM (Carrier Phase RAIM): Integrity Monitoring for PPP
- Advanced Topics:
  - Sensor Fusion: GNSS/INS/IoT Integration
  - Integration of Different IM Systems (e.g. 2-Tiers, SBAS+LA)
- **Carrier Phase, RTK and Ambiguity Resolution IM**

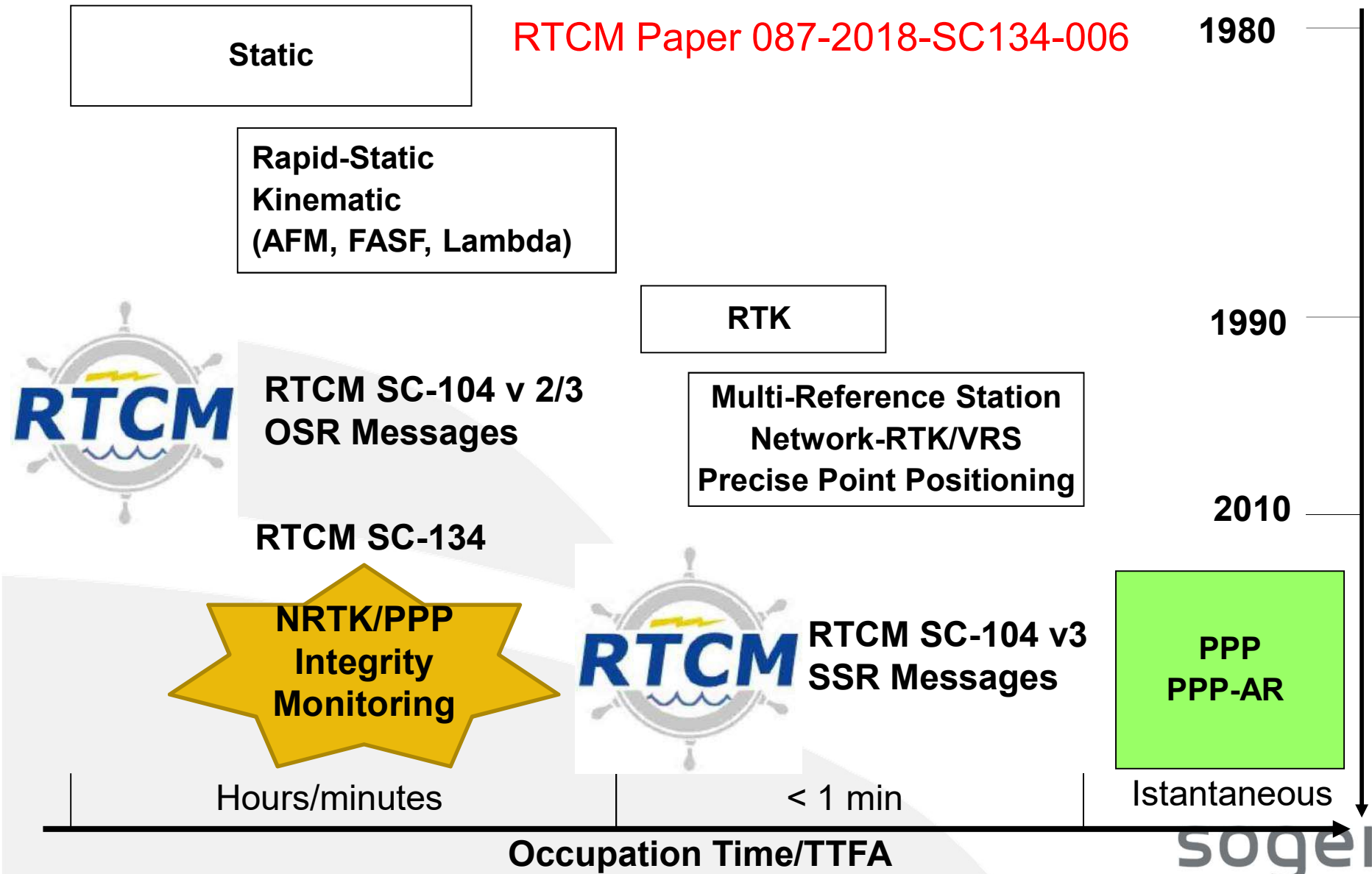
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# Augmentation Systems Overview

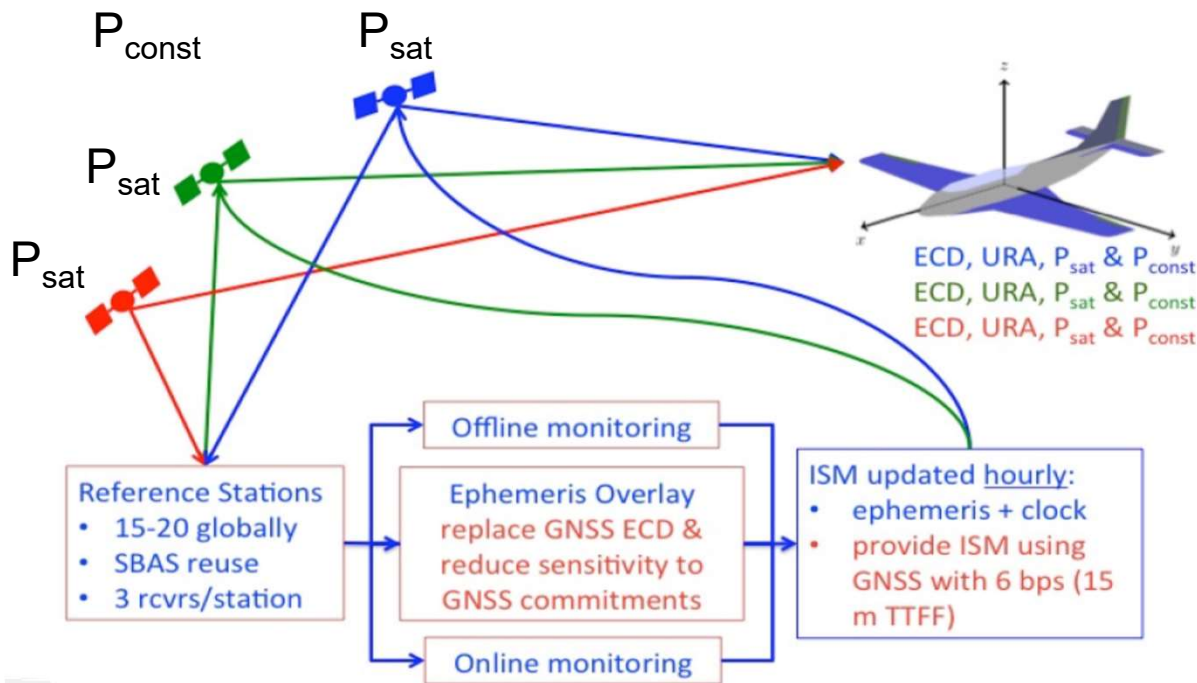
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# High Precision Systems Evolution



# ARAIM Architecture

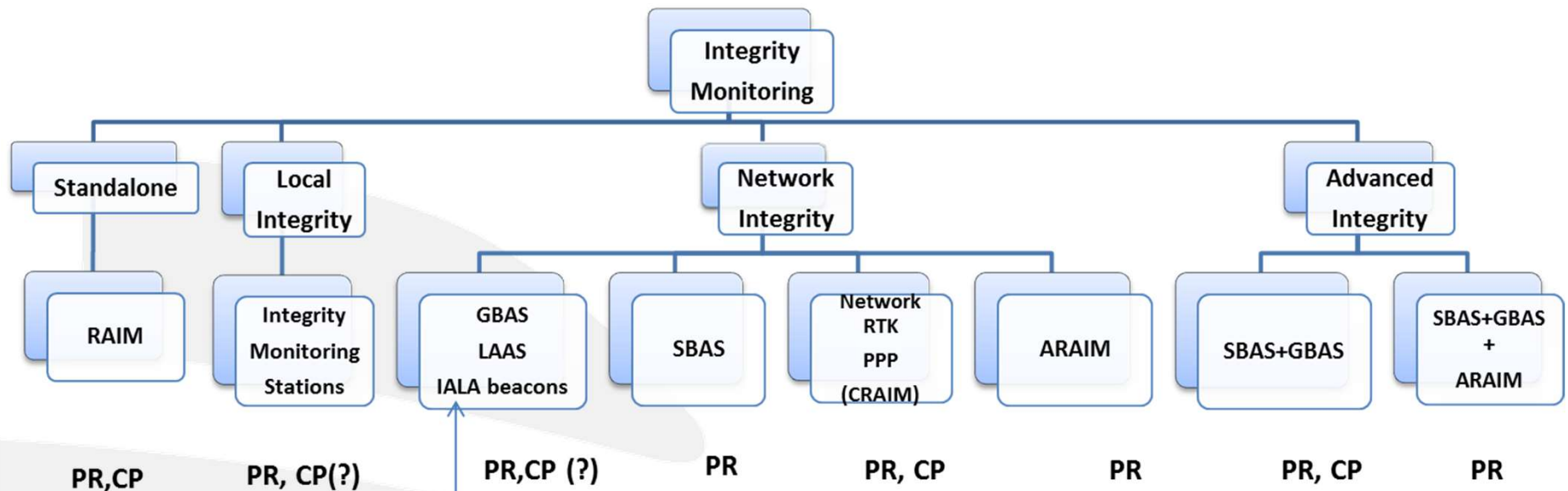


Source: ARAIM Working Group C

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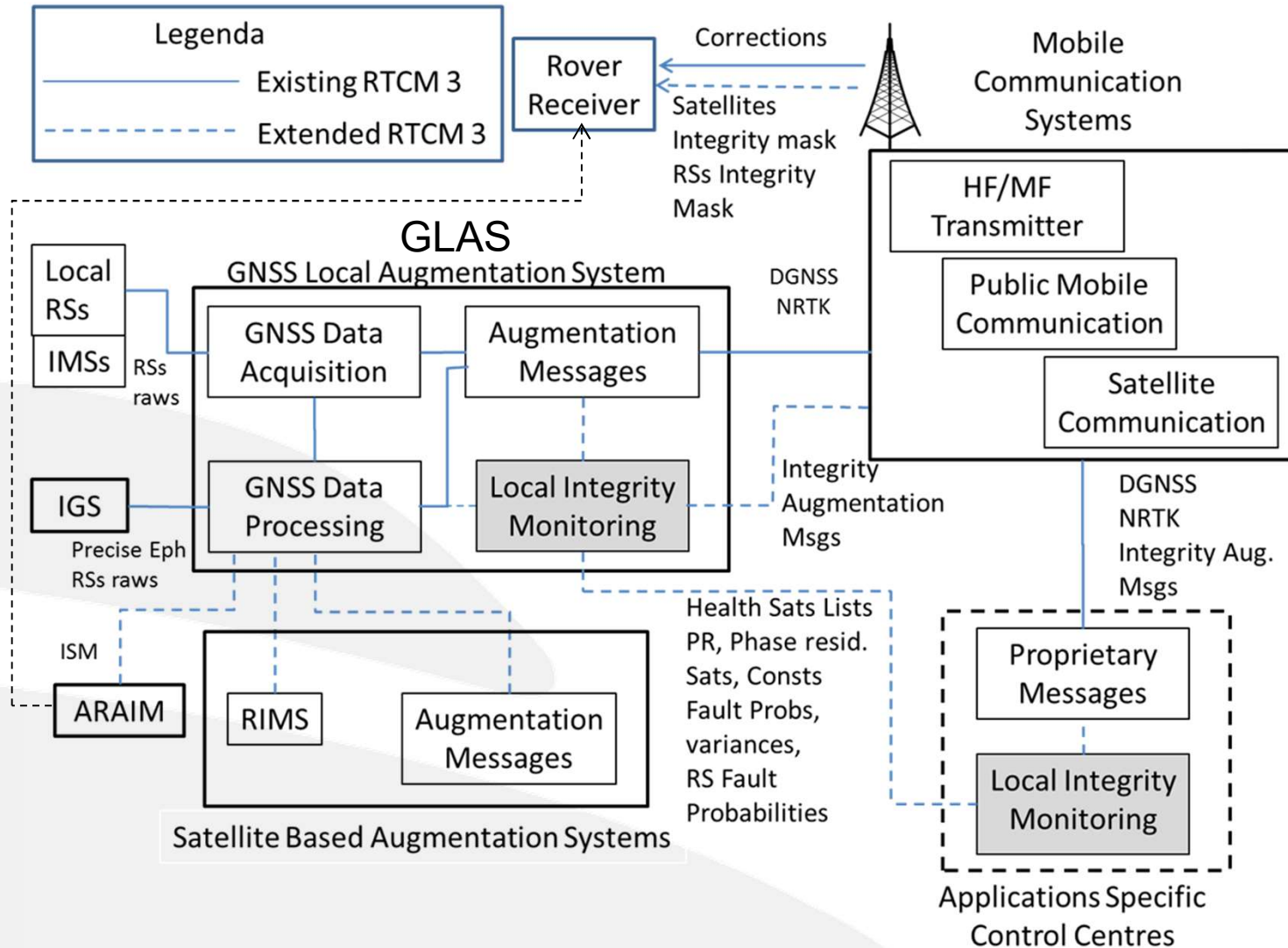
|                       | Bit-Size  | Scaling Factor     | Coded Range   |
|-----------------------|-----------|--------------------|---|
| Constellation ID      | 3         | N/A                | [0 ... 7]   |
| Satellite ID          | 6         | N/A                | [0 ... 63]  |
| GNSS IOD Reference    | 10        | N/A                | [0 ... 1023]  |
| ISM ToA               | 11        | 60 sec             | [0 ... 1 day]   |
| <b>Sum</b>            | <b>30</b> |                    |   |
| Data                  | Bit-Size  | Scaling Factor     | Coded Range (TBC)   |
| SAT_Health_Flag       | 1         |                    | [Use, Do Not Use]   |
| P <sub>sat</sub>      | 4         |                    | [10 <sup>-6</sup> ... 10 <sup>-3</sup> ] log-equidistant. |
| P <sub>const</sub>    | 4         |                    | [10 <sup>-8</sup> ... 10 <sup>-3</sup> ] log-equidistant  |
| Sigma <sub>int</sub>  | 3         | N/A. [Index=Value] | [0.30:0.10:0.70; 0.90; 1.10; 1.50] m                      |
| Bias <sub>int</sub>   | 4         | N/A. [Index=Value] | [0.30:0.05:90; 1.10 1.30; 1.50; 1.70] m                   |
| Sigma <sub>cont</sub> | 3         | N/A. [Index=Value] | [0.20; 0.25; 0.35; 0.40; 0.50; 0.60; 0.75; 1.00] m        |
| Bias <sub>cont</sub>  | 4         | N/A. [Index=Value] | [0.30:0.05:90; 1.10 1.30; 1.50; 1.70] m                   |
| <b>Sum</b>            | <b>22</b> |                    |   |

# Integrity Monitoring Systems Classification



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# Generalised Architecture



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# Integrity Systems Comparison

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|                     |  | Standards/Architectures |      |           |           |      |       |
|---------------------|--|-------------------------|------|-----------|-----------|------|-------|
| Message contents    |  | RSIM                    | RTCM | SBAS RTCA | GBAS RTCA | IALA | ARAIM |
| IMS/RS to CS        | PR Variances                           | √                       |      |           | √         |      |       |
|                     | CP Variances                           |                         |      |           | √         |      |       |
|                     | PR Residuals                           | √                       |      |           |           |      |       |
|                     | CP Residuals                           |                         |      |           |           |      |       |
|                     | GPS Satellite Health Status            | √                       | √    |           | √         | √    |       |
|                     | Other Constellations Satellites Health | √                       |      |           | √         | √    |       |
|                     | Multiple Frequencies differentiation   |                         |      |           | √         |      |       |
| CS to rover or ASSP | Probability of Satellite Fault         |                         |      |           |           |      | √     |
|                     | Probability of Constellation Fault     |                         |      |           |           |      | √     |
|                     | Probability of RS Fault                |                         |      |           |           |      |       |
|                     | Sigma values/variances                 |                         |      |           | √         |      | √     |
|                     | Bias Values                            |                         |      |           | √         |      | √     |
|                     | RS/DGNSS Service Health                |                         |      |           |           | √    |       |
|                     | CP parameters                          |                         |      |           | √         |      |       |
|                     | GPS Satellites Health                  |                         | √    | √         |           | √    | √     |
|                     | Other Constellations Satellites Health |                         | √    | √         | √         | √    | √     |
|                     | Multiple Frequencies differentiation   |                         |      |           | √         |      |       |

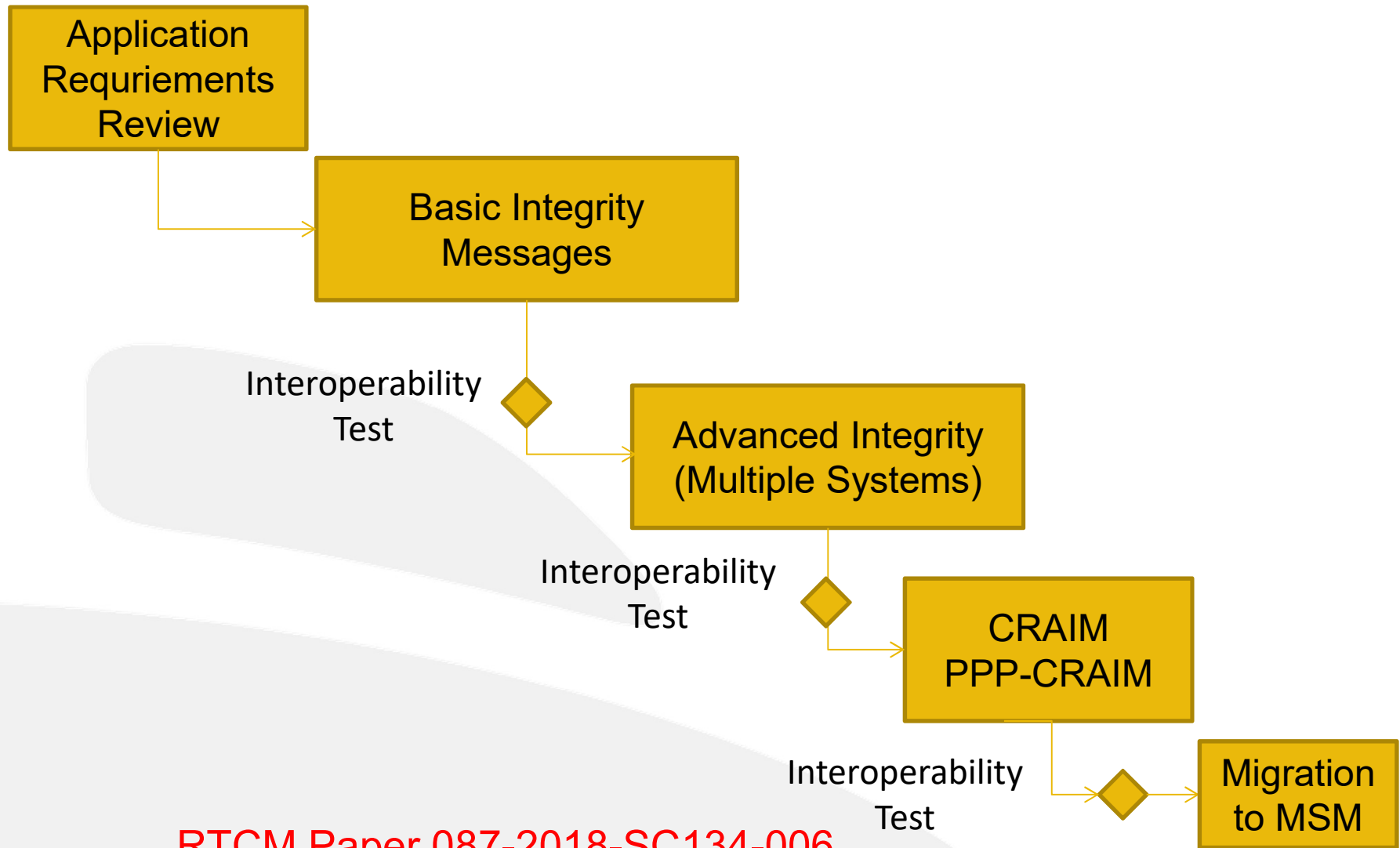
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# ARAIM – Working Group C

|   | Parameter        | Description  | Value                                  | Size (bits) |
|---|------------------|--|--|-------------|
| Data Header   | ISM_WN           | ISM Week Number  | [0, 1, ... 1023]                       | 10          |
|   | ISM_TOW          | ISM Time of Week (hours)   | [0, 1, ... 167 ]                       | 8           |
|   | ANSP ID          | Service Provider Identification                                    | [0, 1, ... 255]                        | 8           |
|   | Criticality      | Usable for Precise/Vertical?                                       | [0, 1]                                 | 1           |
| <b>Total Header = 27 bits</b>                             |                  |  |  |             |
| Per Constellation Parameters                              | $Mask_i$         | 32 bits indicating whether an SV is valid for ARAIM (1) or not (0) | $[m_1, m_2, \dots m_{32}]$             | 32          |
|   | $P_{const,i}$    | Probability of constellation fault at a given time                 | $[10^{-8}, 10^{-5}, 10^{-4}, 10^{-3}]$ | 2           |
|   | $P_{sat,j}$      | Probability of satellite fault at a given time                     | $[10^{-6}, 10^{-5}, 10^{-4}, 10^{-3}]$ | 2           |
|   | $\alpha_{URA,j}$ | Multiplier of the URA for integrity                                | [1, 1.25, 1.5, 2, 2.5, 3, 5, 10]       | 3           |
|   | $\alpha_{URE,j}$ | Multiplier of the URA for continuity & accuracy                    | [0.25, 0.5, 0.75, 1, 1.25, 1.5, 2, 4]  | 3           |
|   | $b_{nom,j}$      | Nominal bias term in meters  | [0.0:0.25: 2.5,., 3, 4, 5, 7.5, 10]    | 4           |
| <b>Total Core = 46 bits x 4 Constellations = 184 bits</b> |                  |  |  |             |

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# Integrity Messages development Roadmap



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# Constellations and Satellite Integrity Data

**Table 3.5-1 - Contents of the Message Header, Type 51: Constellations and Satellites Integrity data**

| DATA FIELD                | DF NUMBER | DATA TYPE | NO. OF BITS |
|---------------------------|-----------|-----------|-------------|
| Message Number            | DF002     | uint12    | 12          |
| GPS Epoch Time (TOW)      | DF004     | uint30    | 30          |
| Constellation Health Mask | DF907     | bit(24)   | 24          |
| <b>TOTAL</b>              |           |           | <b>66</b>   |

**Table 3.5-2 – Contents of Constellation-Specific Portion of a Type 51 Message**

| DATA FIELD                                  | DF NUMBER | DATA TYPE | NO. OF BITS      |
|---|-----------|-----------|------------------|
| Satellites Mask                             | DF914     | bit(32)   | 32               |
| Pconst (Probability of Constellation Fault) | DF904     | bit(2)    | 2                |
| <b>TOTAL</b>                                |           |           | <b>Nconst*34</b> |

**Table 3.5-3 – Contents of Satellite-Specific Portion of a Type 51 Message**

| DATA FIELD                            | DF NUMBER | DATA TYPE | NO. OF BITS   |
|---------------------------------------|-----------|-----------|---------------|
| Psat (Probability of Satellite Fault) | DF905     | bit(2)    | 2             |
| <b>TOTAL</b>                          |           |           | <b>Nsat*2</b> |

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# Proposed Type 52: Fast Constellation and Satellite Health Status

**Table 3.5-4 - Contents of the Message Header, Type 52: Fast Constellation and Satellite Health Status**

| DATA FIELD                | DF NUMBER | DATA TYPE | NO. OF BITS      |
|---------------------------|-----------|-----------|------------------|
| Message Number            | DF002     | uint12    | 12               |
| GPS Epoch Time (TOW)      | DF004     | uint30    | 30               |
| Constellation Health Mask | DF907     | bit(24)   | 24               |
| <b><i>TOTAL</i></b>       |           |           | <b><i>66</i></b> |

**Table 3.5-5 - Contents of the Constellation-Specific Portion of Type 52, for each satellite in the Constellation Mask**

| DATA FIELD             | DF NUMBER | DATA TYPE | NO. OF BITS      |
|------------------------|-----------|-----------|------------------|
| Satellites Health Mask | DF908     | bit(64)   | 64               |
| <b><i>TOTAL</i></b>    |           |           | <b><i>64</i></b> |

# Type 52 Extensions for Multiple Integrity Monitoring Systems

| DATA FIELD                                  | DF NUMBER | DATA TYPE | NO. OF BITS |
|---|-----------|-----------|-------------|
| Satellites Mask                             | DF914     | bit(32)   | 32          |
| Pconst (Probability of Constellation Fault) | DF904     | bit(2)    | 2           |
| TOTAL                                       |           |           | Nconst*34   |

Constellation  
Specific Contents

| DATA FIELD                            | DF NUMBER | DATA TYPE | NO. OF BITS |
|---------------------------------------|-----------|-----------|-------------|
| Psat (Probability of Satellite Fault) | DF905     | bit(2)    | 2           |
| TOTAL                                 |           |           | Nsat*2      |

Satellite  
Specific Contents

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# Proposed Messages Type 53: Reference Station

**Table 3.5-6 – Contents of Message Header, Type 53: Reference Station Specific Integrity data and measurements variances**

| DATA FIELD           | DF NUMBER | DATA TYPE | NO. OF BITS |
|----------------------|-----------|-----------|-------------|
| Message Number       | DF002     | uint12    | 12          |
| Reference Station ID | DF003     | uint12    | 12          |
| GPS Epoch Time (TOW) | DF004     | uint30    | 30          |
| Constellation Mask   | DF915     | bit(12)   | 12          |
| <b>TOTAL</b>         |           |           | <b>66</b>   |

**Table 3.5-7 – Content of Type 53 message**

| DATA FIELD                                   | DF NUMBER | DATA TYPE | NO. OF BITS |
|--|-----------|-----------|-------------|
| Prs (Probability of Reference Station Fault) | DF012     | bit(2)    | 2           |
| Satellites Mask                              | DF914     | bit(32)   | 32          |
| Reference Station Health                     | DF916     | bit(2)    | 2           |
| L1 Pseudorange standard deviation            | DF906     | uint8     | 8           |
| L1 Phase standard deviation                  | DF910     | uint8     | 8           |
| L2 Pseudorange standard deviation            | DF906     | uint8     | 8           |
| L2 Phase standard deviation                  | DF910     | uint8     | 8           |
| <b>TOTAL</b>                                 |           |           | <b>68</b>   |

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# Proposed Type 54: GBAS-like PRC

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| DATA FIELD                    | DF NUMBER | DATA TYPE | NO. OF BITS |
|-------------------------------|-----------|-----------|-------------|
| Message Number                | DF002     | uint12    | 12          |
| Reference Station ID          | DF003     | uint12    | 12          |
| Network ID                    | DF059     | uint8     | 8           |
| Number of Reference Stations  | DF223     | uint7     | 7           |
| GPS Epoch Time (TOW)          | DF004     | uint30    | 30          |
| Number of common measurements | DF928     | bit(5)    | 5           |
| Synchronous GNSS Flag         | DF005     | bit(1)    | 1           |
| <b>TOTAL</b>                  |           |           | <b>75</b>   |

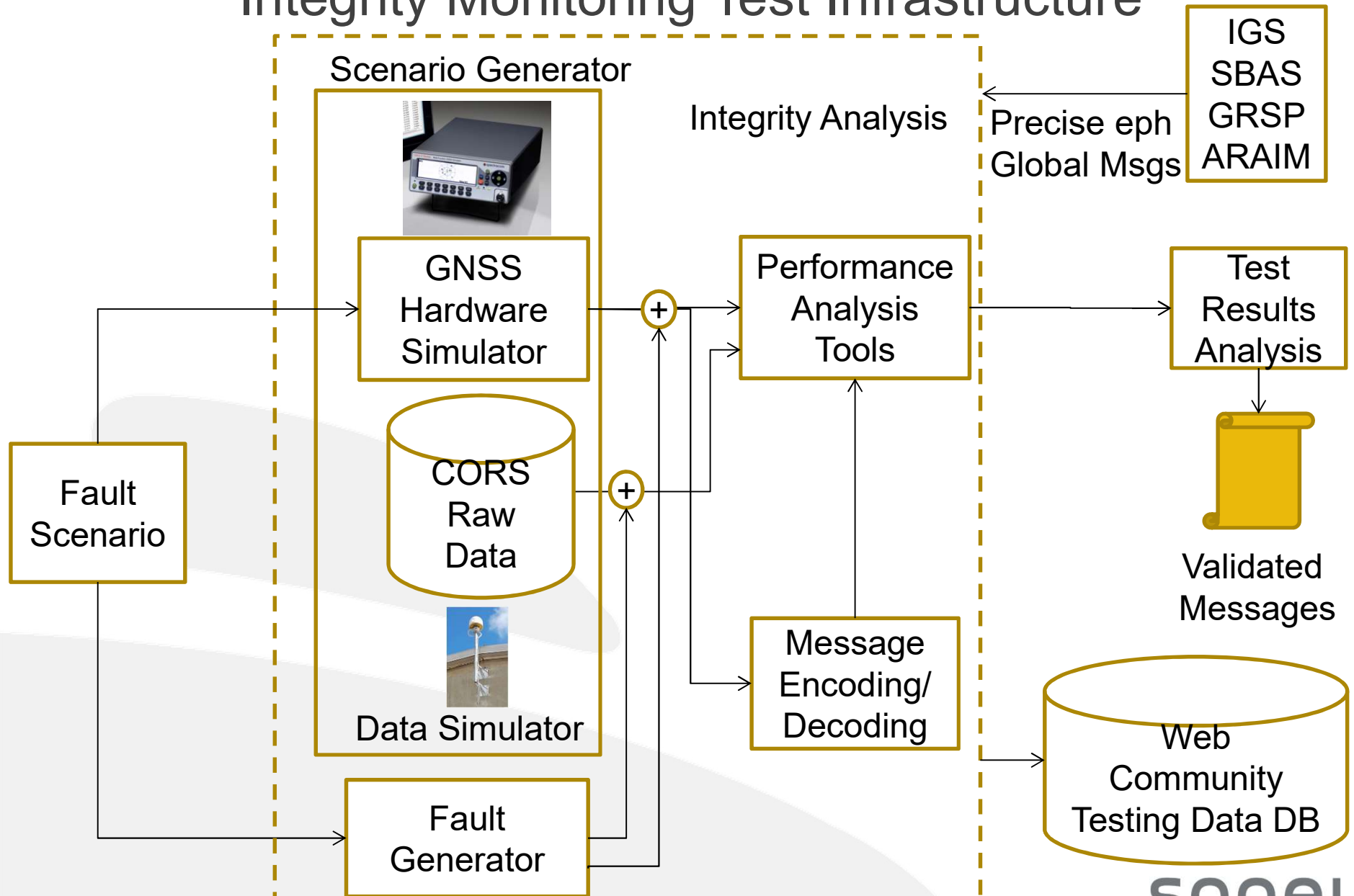
| DATA FIELD         | DF NUMBER | DATA TYPE | NO. OF BITS     |
|--------------------|-----------|-----------|-----------------|
| Ranging Source ID  | DF920     | bit(8)    | 8               |
| IOD                | DF071     | uint8     | 8               |
| PRC                | DF922     | int16     | 16              |
| RRC                | DF924     | int16     | 16              |
| $\sigma_{pr\_gnd}$ | DF907     | uint8     | 8               |
| B1 (note 1)        | DF926     | int8      | 8               |
| B2                 | DF926     | int8      | 8               |
| ..                 | DF926     | int8      | 8               |
| BnRS               | DF926     | int8      | 8               |
| <b>TOTAL</b>       |           |           | <b>56+8*nRS</b> |

For each RS in the network

For each satellite



# Integrity Monitoring Test Infrastructure



## Interoperability Test-Bed Drivers

- Clear Safety Analysis and Fault Scenario definition by applications
- Use of Members GNSS Hardware signal simulators and Fault Generators
- Reuse of existing Performance Analysis Tools
- Test-Bed covering main application areas:
  - Rail
  - Aviation
  - Automotive
- Liason with existing specific Test-Beds
- Web Community Test Data Sharing DB
- Geographical distribution: Worldwide

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# Keypoints for the SC-134

- Application specific Safety Requirements Review
- Architecture and Interfaces among Augmentation infrastructures, Application Providers and users
- Roadmap Definition: Current Augmentation Systems, Future Augmentation evolutions
- Distributed Test-Beds Setup by applications for Interoperability Test

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